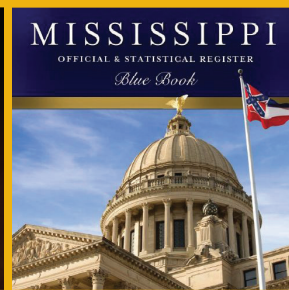


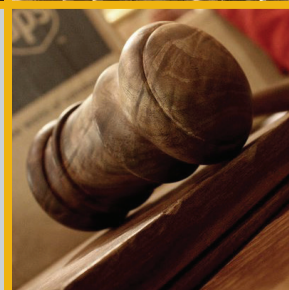
The Office of the
Mississippi
Secretary of State



Annual Report 2013



5-Year Plan 2015-2019



DELBERT HOSEMAN
Secretary of State



DELBERT HOSEMANN
Secretary of State

Our Mission

The Secretary of State's Office will serve the citizens of the State of Mississippi and will fulfill statutory requirements for Business Services, Charities, Education & Publications, Elections, Policy & Research, Public Lands, Regulation & Enforcement and Securities in a transparent, efficient, and cost effective manner.

Key Priorities

The Secretary of State's Office has targeted key priorities for fiscal year 2015 and beyond for each of the eight divisions of the Secretary of State's Office. The personalized plans to carry out these priorities for each division of the Agency are highlighted in this 2013 Annual Report.

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Message from Delbert Hosemann



DELBERT HOSEMANN
Secretary of State

The Office of the Secretary of State was first established in our State's original Constitution in 1817. Over the years, the Office of the Mississippi Secretary of State has been entrusted with a number of duties, responsibilities and functions by the State Legislature.

The Agency's functions range from the oversight of 16th Section Lands and Tidelands to scrap metal sales; from the registration of pre-need cemetery plans to the registration of corporations; from conducting elections to conducting investigations of securities firms and charities. The Agency's list lengthens each year as legislation designed to protect our citizens is implemented.



We are proud of the services we provide daily to our citizens, and invite you to contact us for any information or assistance you may need.

Sincerely,

Delbert Hosemann
Secretary of State

About the Secretary of State



The Office of the Secretary of State is dedicated to being both a service and information agency. There are eight divisions within the Secretary of State's Office: Business Services, Charities, Education & Publications, Elections, Regulation & Enforcement, Securities, Policy & Research and Public Lands. In addition, the Agency employs executive and administrative staff which help oversee the various functions of the office.

Business Services



The *Business Services Division* administers Mississippi's Corporation, LLC and UCC laws and handles filings related to business formations and regulation. The Division also issues notary commissions, registers correspondence schools, and administers trademark, service mark, and non-profit laws. It serves as a default agent for service of process.

Charities



The *Charities Division* oversees charities and non-profit organizations in the State, in addition to investigating potential charities fraud.

Education & Publications



The *Education & Publications Division* produces and distributes statutory publications of the State, including the Official and Statistical Register, known as the "Blue Book." The Division also oversees the annual K-12 Promote the Vote program and other educational outreach efforts.

Elections



The *Elections Division* administers the State Election Code, trains municipal and county Election Commissioners, and enforces campaign finance and lobbying requirements, as well as supports the Secretary of State in his role as Chief Elections Officer for the State of Mississippi.

About the Secretary of State



OFFICE LOCATIONS

401 Mississippi Street Jackson, MS 39201-1004 (601) 359-1350	431 West Main Street/Suite 403 Tupelo, MS 38802 (662) 844-0194
New Capitol Building, Room 105 Jackson, MS 39201 (601) 359-3123	316 West Commerce Street Hernando, MS 38632-2120 (662) 449-3362
700 North Street Jackson, MS 39202 (601) 359-1673	1701 B 24th Avenue Gulfport, MS 39501-2972 (228) 864-0254

Policy & Research



The *Policy & Research Division* acts as a research and development arm for the Agency, looking for new ways to streamline services and develop potential legislation.

Public Lands



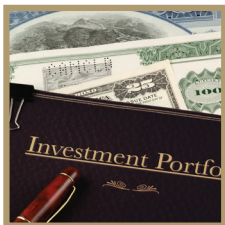
The *Public Lands Division* administers and supervises State-owned lands, including 16th Section School Trust Lands, Public Trust Tidelands, Lieu Lands, and Tax-Forfeited Property. Public Lands also maintains an inventory of Agency-held lands.

Regulation & Enforcement



The *Regulation & Enforcement Division* is tasked with regulating pre-need/perpetual care providers, enforcing scrap metal laws in the State, and overseeing administrative procedures for State government. The Division also conducts Scrap Metal Law Enforcement Training.

Securities



The *Securities Division* administers Mississippi's Uniform Securities Laws and investigates securities fraud. The Division also registers State investment advisors, and broker-dealer firms and representatives.

Public Lands



This division works toward improving leasing practices on 16th Section Lands for the maximum rate of return for schools.



16th SECTION LANDS

BY THE NUMBERS*

(Fiscal Year 2012)

13,000 16th Section Land leases

\$89 million in revenue from 16th Section leases

\$20 million from surface leasing

\$24 million from oil and gas royalty payments

\$27 million in sales of timber/forest products

* All 16th Section Land revenue stays with the local school district

The Public Lands Division continues to fulfill the constitutional and statutory duties regarding stewardship of the 16th Section Public School Trust Lands and Lieu Lands, timber, and the Public Trust Tidelands. This division works to increase the sale of lands forfeited to the State for non-payment of ad valorem taxes, maintain a current inventory of State-owned lands and provide efficient service to the public and governmental subdivisions relating to sales, acquisitions, leasing, title of the State's real property assets and the historical records maintained in our office.

The Secretary of State serves as supervisory Trustee overseeing management and leasing of more than 640,000 acres of 16th Section Public School Trust Lands by 108 local school districts. The Public Lands Division works toward improving leasing practices on 16th Section lands, and maximizing the rate of return.

The Division maintains a map on the Agency website showing the approximate location of all hunting and fishing leases across the State, with rental information and contact information for managing school boards.

The Agency website also publishes public bid notices for hunting and fishing leases, agricultural leases, sales of timber and other forest products, and oil and gas leases.

The Secretary of State and Mississippi Forestry Commission maintain a cooperative partnership with the local school districts to manage their timber assets. This division maintains presale inventories of timber sold by lump-sum sale, monitors current timber harvest operations, inspects properties for proper usage and waste, and assists businesses in emerging markets for renewable energy in determining the availability and type of resources available to them on public school trust lands.

Public Lands



The Cat Island Purchase



The State of Mississippi was able to acquire 217 acres of Cat Island from a private landowner in fiscal year 2013.

PUBLIC TRUST TIDELANDS

Public Trust Tidelands and submerged lands are lands lying under waters naturally subject to tidal influence. The inland boundary is the mean high tide line established over 19-years epochs, and the seaward boundary is the State boundary, about 10 miles south of the barrier islands. Public Trust Tidelands are owned by the State in trust for all the people of Mississippi. The Secretary of State is the trustee of this great public trust.

With regard to the Public Trust Tidelands and submerged lands held by the State in trust for all of its citizens, the focus of this division is to:

- Protect public use and public access
- Actively seek acquisition of property for

BY THE NUMBERS

The total purchase price for Cat Island is \$8 million, with \$528,000 from Tidelands funds.

MOEX (Federal oil spill settlement funds)	\$4,987,326
CELCP (Federal Coastal/Estuarine Land Conservation Program)	\$1,206,575
Reallocation of Deer Island bond funds	\$1,277,943
Tidelands fund appropriation	\$528,155

preservation and protection in the coastal preserve system

- Support action for restoration of degraded public wetlands
- Develop the use of Public Trust Tidelands for recreational and educational purposes that meet the higher purpose for which the trust was established.



Cat Island is the westernmost island of the five barrier islands, which form the southern boundary of the State of Mississippi, roughly eight to 10 miles south of the mainland coast.

Public Lands



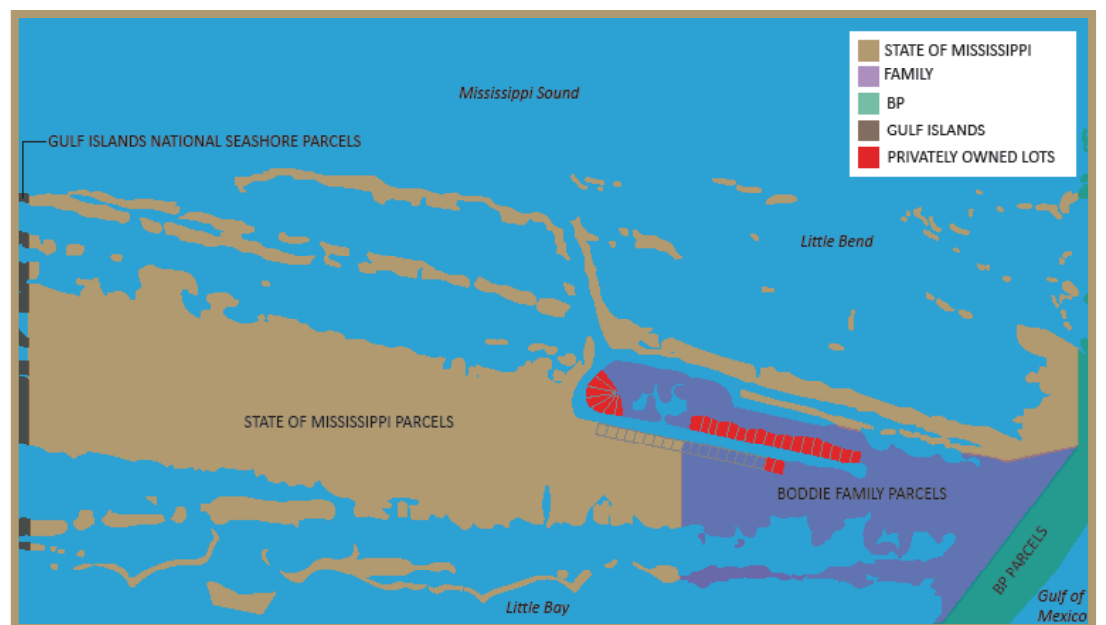
The Cat Island Purchase

Aerial imagery of Cat Island shows its unusual “T” shape, which consists of approximately 2,000 acres. The island is a well-known public recreation site.



“The acquisition of such a pristine ecological portion of land is a significant step in the preservation of Cat Island for public use.”

Delbert Hosemann
Secretary of State



The citizens of the State of Mississippi purchased 217 acres of Cat Island from a private landowner.

Public Lands



TAX FORFEITED LANDS

All properties for which ad valorem taxes are past due are subject to sale by the county in which the lands are located. Any lands not purchased at the local tax sale are struck off local tax rolls, transferred to the State and are subject to sale by the Secretary of State pursuant to applicable statutes. The Secretary of State works to sell these lands and return parcels to productive use in the community by private individuals so they once again produce property tax revenues for the counties and municipalities in which they are located. The current amount of tax forfeited lands held by the State include 11,000 parcels with a value of \$64 million.



AGENCY LANDS

HIGHLIGHTS

Inventory of lands owned by the State are now available for public viewing

400,000 acres of agency lands under State ownership

Our continued goal is to provide the members of the Legislature, agency officials, county officials and the general public accurate land information to allow proper utilization and management, and, where the Legislature deems appropriate, transfer parcels so they may be transitioned back onto the county property rolls.

Tax forfeited lands held by the State of Mississippi for non-payment of property taxes are available for acquisition to return these lands to the tax rolls.

HIGHLIGHTS

Tax forfeited lands can be transferred without cost for public use

2013 property sales total more than \$1.3 million

Economic downturn is driving an increase in tax forfeited properties

Real Estate brokers are now helping to market tax forfeited properties in all 82 counties

Business Services



The Business Services Division provides business-related services to anyone wishing to do business in Mississippi.



IMPROVING BUSINESS PRACTICES IN MISSISSIPPI

BY THE NUMBERS

(Fiscal Year 2013)

89,852 phone calls providing assistance

51,699 corporate documents filed

26,342 Notary/Apostille registrations

Business Services, including filing and customer services, along with Charities, Securities, Regulation & Enforcement, and Policy & Research, will continue encouraging improvements in the way business is conducted in Mississippi.



The Business Services Division provides a wide range of services to individuals and companies who conduct business in Mississippi, whether they are based inside the State or another jurisdiction.

Business Services

STARTING A BUSINESS

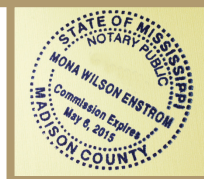
The Business Services Division is the starting point for many entrepreneurs wishing to conduct business in the State. Consumers can search for a unique business name, check their corporate status, order certified documents and search other companies. Business Services assists with the formation of corporations, limited liability companies, foreign business trusts, limited partnerships and other business structures. The State statutes determine the structure of each business.



NOTARIES PUBLIC

The Business Services Division oversees all notaries public in the State and receives nearly 5,000 notary applications and renewals per year.

The Division investigates all notary complaints. Apostilles and Authentications are prepared and attached to documents to verify authenticity or validity. Many of these documents are presented in foreign countries.



Business Services

UNIFORM COMMERCIAL CODE

Uniform Commercial Code (UCC) filings are also handled through this division. These secured transactions are recorded and accessible to financial institutions, governmental agencies and others engaged in commercial lending and trade.



TRADEMARKS & SERVICE MARKS

Trademarks and Service Marks are recorded and approved through this division. Recent trademarks legislation proposed by the Secretary of State's Office and adopted by the Legislature received international recognition as one of the most forward, aggressive trademark laws in the nation.



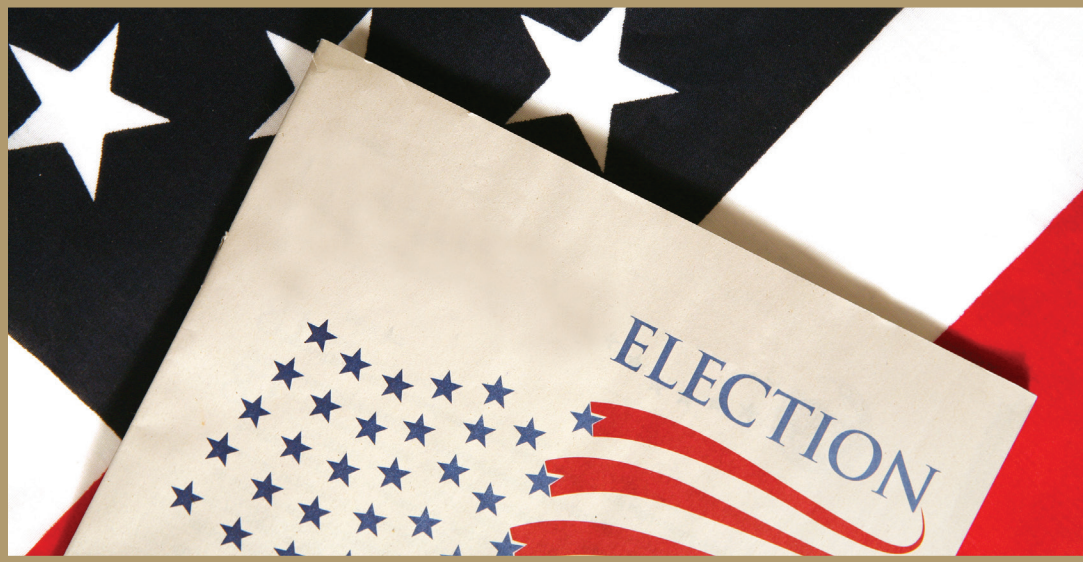
STATUTORY SERVICES

The Division is also responsible for a wide array of statutory responsibilities including accepting service of process, recording pesticides, registering carnivals, circuses, polygraph examiners and recording public official bonds.



This division also files interlocal agreements, municipal charters and record annexations for all municipalities.

Elections



This Division ensures fair and unbiased elections, with more accountability through administration and training, as Mississippi citizens exercise their right to vote.

SUPPORTING THE RIGHT TO VOTE IN FAIR ELECTIONS

The Secretary of State's Office works to bring more accountability to Mississippi elections by providing the most accurate knowledge regarding elections to the Legislature, Governor, local election officials and the public. The goal is to insure Mississippians' voices are heard clearly as they exercise their right to vote.

The role of the Elections Division will continue to include election administration, training

election officials, managing the Statewide Election Management System or "SEMS," providing elections-related financial, technical and legal support to the counties and municipalities, receiving election returns, assisting local elections officials in carrying out their election-related responsibilities, and collecting campaign finance and lobbying reports.

Administration

In 2012, the Elections Division dedicated much time and energy to the success of the federal and county elections, which included elections for the President of the United States. On Election Day, the Secretary of State's Office partnered with volunteers from the State Auditor's Office to deploy poll observers to observe the election process. In 2012, staff observed in more than 41 counties throughout the State. The Division also responded to 1,787 telephone calls and 25 text messages received on Election Day. After Election Day,

the Division coordinated the meeting of the six Mississippi Presidential Electors, who cast Mississippi's vote for President in the U.S. Electoral College Initiative on December 17, 2012. During the first six months of 2013, the Division prepared Mississippi's 299 municipalities for the May 7 Primary Election, May 21 Runoff Election and June 4 General Election. During the Primary Election, staff observed in more than 26 municipalities; while during the General Election, staff observed in more than 27 municipalities.

What We Do

Assist county and municipalities in preparing for elections

Train and certify county and municipal election officials, Election Commissioners

Maintain and publish campaign finance and lobbying reports

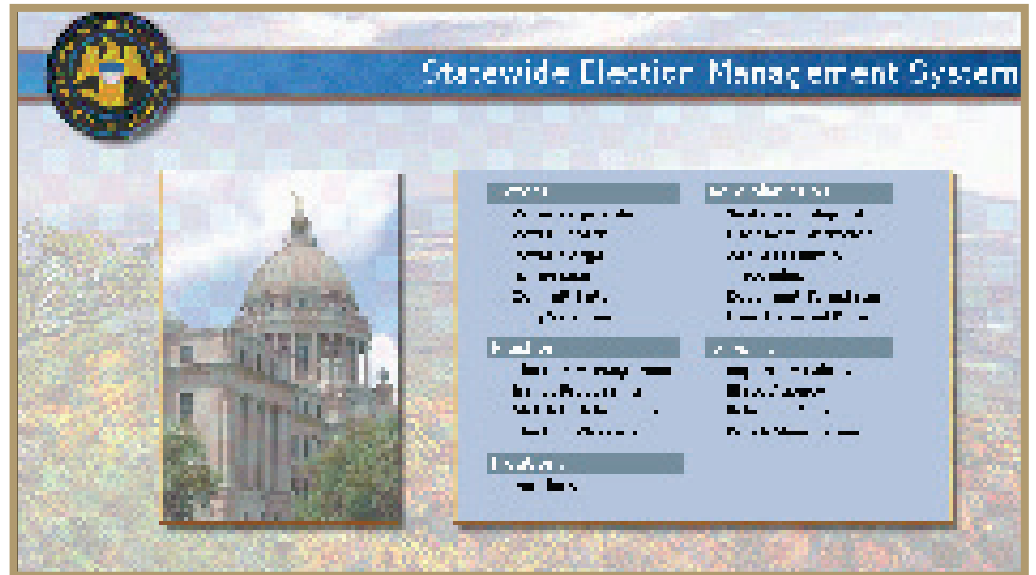
Accept constitutional initiative filings

Accept qualifying paperwork for statewide and multi-county district candidates

Maintain the statewide voter registration database

Elections

The Statewide Elections Management Systems, or “SEMS,” is a custom-designed computer interface which supports a statewide voter registration database.



BY THE NUMBERS

1,285,584 votes were cast in the 2012 Presidential election, which was 67.46% of the registered voters in the State

Approximately 39 staff members observed 58 municipalities during 2013 municipal elections

\$167,189 in ACCESS Grants was disbursed to 33 counties for ADA improvements to temporary polling sites

1,482 municipal Election Commissioners received certified training

SEMS

The Elections Division works with the election officials of counties and municipalities to maintain a statewide voter registration database, known as the Statewide Elections Management System, or “SEMS,” to provide tools to maintain the voter rolls accurately for their counties and municipalities. This division provides education about these responsibilities and the tools available to maintain accurate voter rolls. The Division is constantly updating the SEMS interface,

such as inputting death certificates received monthly from the Mississippi Department of Health, criminal convictions received quarterly from the Administrator of Courts and notification of change of address received annually through National Change of Address (“NCOA”). More than \$800,000 was expended for SEMS support. The Division continues to enhance the interfaces with other State agencies to ensure every Mississippian who is qualified to vote is also registered to vote.

Training

The Elections Division provides certification programs and continuing education training programs to county and municipal election commissioners and executive committees. Training programs address substantive law as well as technical aspects of the Statewide Voter Registration System or “SEMS” and the Statewide Voting Machine System. We also provide training to election officials on how to operate specific voting devices, as well

as how to train individual poll managers for Election-Day responsibilities. This division is focused on utilizing current technology, such as webinars, to make our training accessible to election officials throughout the State. The training staff works consistently to update the training curriculum to better reflect legal requirements, technical aspects and practical issues faced by elections officials.

Elections



Campaign Finance and Lobbying

The Division is streamlining the reporting systems for campaign finance and lobbyists through a more user-friendly, computer-driven approach. The Agency has consistently advocated increased public disclosure and transparency from elected officials, candidates and lobbyists. Maintaining open and accessible information is a top priority for the Office of the Mississippi Secretary of State.

Mississippi law requires that all candidates for public office disclose to the public the sources of their receipts and disbursements. Those disclosures lead to a more informed electorate

and increase the likelihood of fair and unbiased elections. The Elections Division maintains such filings and provides guidance to candidates regarding reporting requirements. Campaign Finance and Lobbying reports may be viewed online by the general public on the Secretary of State's website (www.sos.ms.gov).

Mississippi law requires all lobbyists register with the Secretary of State's Office in order to conduct business in the State.



Other Activities

Certification of Election Results

The Office of the Mississippi Secretary of State is responsible for certifying Statewide elections and multi-county elections.

ACCESS Grants

The Division administers federal ACCESS grants to assist counties in making their polling places compliant with the Americans with Disabilities Act and more accessible to voters with disabilities.

Initiatives

All proposed amendments to the Mississippi Constitution must be registered with the Elections Division of the Secretary of State's Office. The official ballot title and summary are prepared by the State Attorney General. Initiatives are only valid for one year, during which time a petition must be circulated to gather signatures to place the measure on the next statewide general election ballot.

Election Commissions

The Elections Division assists the Governor's Office in preparing all elected officials' commissions. In 2012, this division mailed approximately 600 commissions to newly elected county officials and, in 2013, the Division distributed 1,800 commissions to the newly elected municipal officials.

Public Requests from individuals, candidates and Federal Agencies

The Elections Division responds to numerous public records requests for voter registration statistics, Statewide and district voter rolls, election results, Uniformed and Overseas Voter statistics and the number of voters casting an absentee ballot. The Division provides accurate information to the public on a Statewide and national level.

Election Publications

Annual Elections Calendar

Candidate Qualifying Guide

Election Officials Handbook

Campaign Finance Guide and Reporting Schedule

Lobbying Guide and Reporting Schedule

Annual Election Day Report to the State Legislature

Education & Publications

This division produces State and Agency documents in traditional paper-and-ink publications, as well as digital versions on the Agency's website.

Publications

Blue Book

House Journal

Senate Journal

General Laws

Local & Private Laws

Mississippi
Constitution

Judiciary Directory
& Court Calendar

Directory of State
& County Officials

Magnolia Booklet

My Mississippi
Coloring Book

Elections Calendar

Charities Report
(Digital only)



INFORMATION OF RECORD FOR STATE CONSTITUENTS

The Education & Publications Division of the Office of the Mississippi Secretary of State is working to increase the efficiency of publishing and distributing State and Agency documents. In addition to traditional paper publications, the Division is now posting digital, downloadable versions of many publications on the Agency's website to give constituents easy access to needed information. Interim updates are also made to the digital versions prior to traditional publication updates.

In 2012, the 2012-2016 *Official Statistical Register of the State of Mississippi* (more commonly known as the Blue Book), was published in digital format with the traditional publication scheduled for the first quarter of fiscal year 2013. The Education & Publications Division also produces the *Magnolia Book*

(Mississippi Guide Book), the Judiciary Directory, and the Directory of State and Local Officials. The Division oversees the chaptering, indexing and distribution of all adopted legislation and compiles the information annually for publication of the General Laws and Local and Private Laws of the State, along with publishing House and Senate Journals for each legislative session.

To reduce the cost of communicating directly with groups of constituents, the Division is working to compile digital databases of email addresses to facilitate quick and easy correspondence with targeted groups instead of relying on traditional mail service.


Additionally, this division produces educational materials and special publications for the Agency and its specific divisions.

Education & Publications



"Through Promote the Vote participation, students study a wide array of world governments and Statewide civic and economic issues. The importance of our right to vote as Americans is also stressed to our young adults."

Delbert Hosemann
Secretary of State




Mississippi's K-12 Voter Education Program

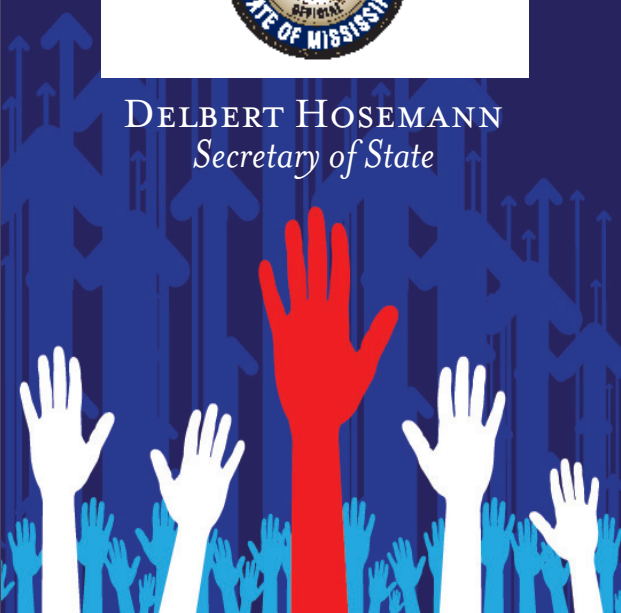
Empowering Tomorrow's Voters

www.sos.ms.gov/ptv

Sponsored by



DELBERT HOSEMAN
Secretary of State



More than 210,000 students, in 77 of Mississippi's 82 counties, participated in Promote the Vote in 2012, the highest rate of student participation since the program's inception in 1996.



Division staff members coordinated the Agency's booth at the Mississippi State Fair as well as participated in dozens of trade shows, conventions and other public events where attendees may benefit from the Agency's services.

Securities



This division protects investors in the State from unscrupulous organizations using the most up-to-date Securities laws in the nation.

BY THE NUMBERS

\$30-\$100 million

Threshold for investments firms to move from the SEC to State registration.

2,100

More than 2,100 adviser firms nationwide switched from SEC regulation to State regulation. Nine of these firms were in Mississippi.



MORE INVESTMENT FIRMS UNDER STATE REGULATION

Prior to 2012, investment adviser firms with assets under management (AUM) of up to \$30 million were registered with and subject to State securities regulations and those with AUM of over \$30 million were registered with and subject to the federal SEC regulation. In 2012, as a result of the Dodd-Frank Act, the \$30 million dollar ceiling was raised, and investment adviser firms managing assets between \$30 and \$100 million were required to switch their registration from the SEC to State registration. More than 2,100 adviser firms across the country switched to State regulation. Mississippi inherited nine investment adviser firms.

In making the transition in the fall of 2011, examiners from the Secretary of State's Office Securities Division personally visited with these firms to explain the transition process. The actual changeover during the summer of 2012 went smoothly. Since that time, the Securities Division has conducted on-site routine examinations of each of the firms.

In 2007-2008, a fund manager employed by Morgan Keegan misrepresented the value of certain mortgage backed investments during the housing collapse. Over 39,000 investors nationwide lost over \$1 billion and over 2,000 Mississippi investors lost more than \$71 million. In June 2011, as a direct result of an investigation launched by the Office of the Mississippi Secretary of State and several other state securities regulators, Morgan Keegan agreed to pay over \$200 million in penalties into two separate funds: \$100 million to be distributed by the States and \$100 million to be distributed by the SEC to investors who suffered losses.

The States distributed their settlement in November of 2012. Over \$5 million was distributed to Mississippians. The SEC proposed a plan of distribution in April 2013 for their Morgan Keegan settlement fund, which was adopted in final form in August 2013. No SEC funds, however, have been distributed.

Charities



This division protects Mississippi donors from unscrupulous charities who might prey on our citizens.



PROTECTING YOUR CHARITABLE DOLLARS

Through enforcement of the Mississippi Charitable Solicitation Act, the Office of the Mississippi Secretary of State's Charities Division is responsible for protecting donors in the State. Through new legislation drafted and supported by the Secretary of State's Office, the Charities Division has been given authority to protect Mississippians from unscrupulous organizations taking advantage of our citizens. With the enforcement of these new laws, the Charities Division protects Mississippians and works to ensure their hard-earned dollars are used for the purpose intended.

Each year, the Secretary of State's Office promotes a "Check your Charity" campaign during the holiday season. This division will continue to encourage Mississippians to check their supported charities with the Secretary of State's Office before they donate.

The Non-Profit/Charities Act not only eases the regulatory burdens on charities but also strengthens the Agency's ability to enforce laws against dishonest charities. These changes include increasing the annual revenue threshold for charity registration with the Secretary of State's Office from \$4,000 per year to \$25,000 per year. However, an exemption with the Charities Division may be required. This law also expands the Charities Division's authority by allowing our office to subpoena witnesses and documents



during investigations and to bring actions in Chancery Court to stop illegal activities, collect fines and remove charitable corporate status. Through the strong enforcement of the Charities Act, Mississippi donors can have more confidence in their charitable giving.

To protect Mississippi donors, a key priority of the Agency is to make it more difficult for organizations to misappropriate charitable dollars. The Agency will continue working with charitable organizations in the State to maximize the amount of funds allocated for actual charitable purposes. Increasing the transparency in charitable organizations and providing this information to Mississippians allows our citizens to have peace of mind in charitable giving.

FOR YOUR PROTECTION

Armed with the most up-to-date Charities laws in the nation to protect Mississippians

An annual "Check your Charities" campaign lets you know how your hard-earned dollars are spent

Annual revenue threshold for charity registration increased from \$4,000 to \$25,000 per year

Regulation & Enforcement



This division registers and regulates businesses subject to their jurisdiction, such as funeral homes, cemeteries and scrap metal dealers.

WHAT'S AHEAD

The Secretary of State's Office will build upon pre-need and cemetery legislation already passed to make it more difficult for unscrupulous individuals to take advantage of consumers

Assist dealers and recyclers with an online database to deter and prevent copper and other metal thefts

Focus on building strong cases for successful metal theft prosecutions



PROTECTING THE CONSUMER'S INTEREST

The Regulation & Enforcement Division of the Office of the Mississippi Secretary of State serves various consumer protection interests with its regulation of pre-need funeral providers, privately owned cemeteries, and scrap metal dealers and recyclers. These business entities are examined and audited by division staff to determine if the business is complying with State legislation and regulations designed to protect the consumers' interests.

Examinations and audits may be either complaint-based or randomly selected. Violators are subject to administrative penalties, suspension of a license, or, in extreme cases, revocation of a license issued by the Division. Additionally, this division licenses and monitors the activities of Athlete



Agents under the Mississippi Athlete Agent's Act. This act helps to protect young athletes in the State from falling victim to unscrupulous sports agents who might otherwise attempt to take advantage of an athlete's inexperience when signing with a professional sports team.

The Regulation & Enforcement Division publishes the *Mississippi Administrative Bulletin* and the *Mississippi Administrative Code* where all State government rules and regulations are accessible to the public on the Secretary of State's Office website. The Division supports both the public and State agencies with procedural steps for adopting rules and obtaining public comment in the process.

Policy & Research



PROMOTING BUSINESS LAWS FOR ECONOMIC DEVELOPMENT

The Policy & Research Division's mission is to develop, promote and make sure business laws, rules, and regulations in Mississippi are well-conceived, clear, up-to-date, fair and supportive of economic development. The Division pursues this mission by conducting research on key business issues and facilitating the work of the volunteer panels of Mississippians selected by the Secretary of State to study and recommend improvements in specific areas of State law. From the



research findings and study group recommendations, the Division develops legislative proposals and works with lawmakers to

pass the business-supportive legislation.

Together with study groups, the Division will continue

to address a broad range of topics, including corporate law, debtor and creditor law, economic development, intellectual property, land use, non-profit and charitable organizations, public finance, rural water associations, securities, tax, trademarks, and trusts and estates.

The Division will also help develop policy initiatives at the request of other State agencies, and provides legal advice to the Secretary of State. A few of the recent changes are listed below:

Policy Initiatives

House Bill 1261

(effective April 24, 2012)

Amendments to Public Improvement District Act – A Public Improvement District (“PID”) is a financing vehicle for economic development projects. Amendments to the 2002 Act provides greater transparency for PID bond issues and greater accountability to the public.

House Bill 1104

(effective July 1, 2012)

Uniform Prudent Management of Institutional Funds Act – UPMIFA provides statutory guidelines and greater flexibility for the management, investment, and

expenditures of endowment funds held by charitable institutions.

House Bill 732

(effective January 1, 2013)

The Uniform Principal and Income Act of 2013 – The Uniform Principal and Income Act of 2013 (UPAIA) provides procedures for trustees administering an estate in separating principal from income.

House Bill 789

(effective January 1, 2013)

Amendments to Mississippi Business Corporation Act – The Act was amended to conform to the ABA's Model Business

Corporation Act and the Mississippi's Revised LLC Act.

House Bill 1162

(effective January 1, 2013)

Mississippi Registered Agents Act – Based on the Model Registered Agents drafted by the Uniform Laws Commission in 2006, the Mississippi Registered Agents Act (“MoRAA”) creates one filing process and one set of forms and fees to govern the registered agent process for Mississippi's seven business entities: Corporations, Partnerships, Limited Partnerships, Foreign Investment Trusts, Foreign Business Trusts, Nonprofit Corporations and LLCs.

Business Services

FINANCIAL SUMMARY

(Including Business Services, Charities, Regulation & Enforcement, Securities)

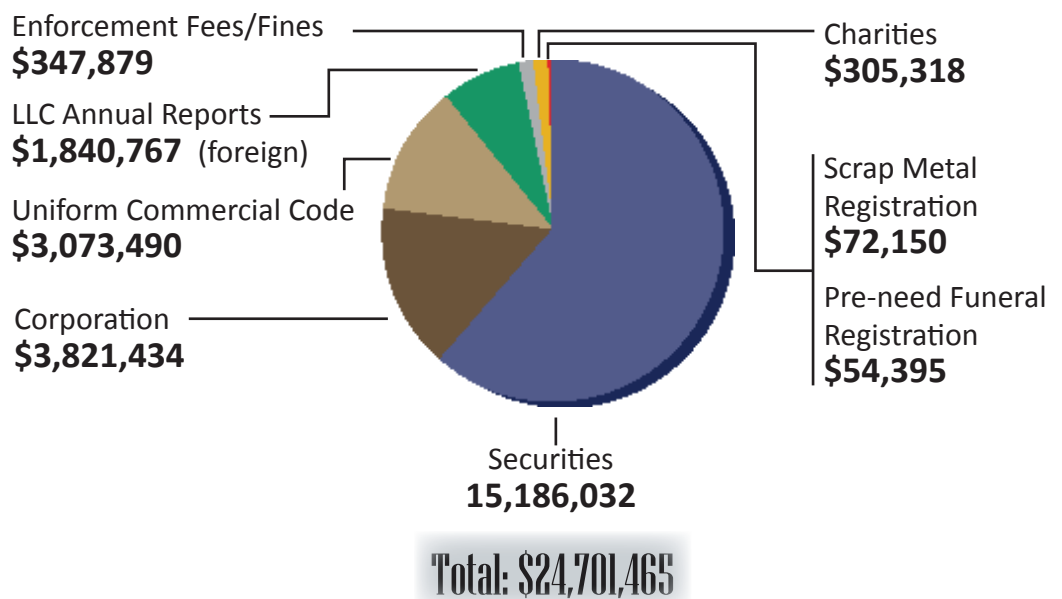
Efficiencies

- Increase online services provided for businesses and corporations
- Maintain turnaround times for customer filings to less than 24-48 hours
- Provide more opportunities and methods for enforcement education
- Increase registration of Scrap metal dealers
- Increase online services for businesses and corporations
- Reduce turnaround time for charities and securities filings
- Reduction in security and charity thefts

Our Performance

CATEGORY	OUTPUT
UCC documents filed	322,350
Security registration & renewals (firms/agents)	113,915
Telephone calls received	89,852
Corporate documents filed	51,669
Annual reports filed (Corp)	51,075
LLC annual reports filed (domestic)	41,517
Notary registration/renewals apostilles	26,342
Security registration & renewals (offerings)	23,675
LLC annual reports filed (foreign)	7,918
UCC searches	826
Securities/Charities audits, examinations & renewals (firms/agents)	318
Securities/Charities legal actions (dispositions & opinions)	130

Fees Income: FY 2013



Elections

FINANCIAL SUMMARY

Our Performance

CATEGORY	OUTPUT
Lobbying reports returned	4,890
Campaign finance reports returned	1,775
Election training sessions	66

Lobbyist Registrations

All persons or entities engaging in lobbying activity in the State of Mississippi must register with the Secretary of State's Office. The Mississippi lobbying cycle begins on January 1 and ends December 31 of each calendar year. Registration is required each year.



A \$25 registration fee must be paid to the Secretary of State's Office. Each registration is valid for one (1) calendar year, beginning January 1 and ending December 31

Total: \$29,636

Efficiencies

- Continue to promote fair and honest elections by providing accurate election reports
- Streamline campaign finance and lobbyist filings and reports
- Provide election training sessions with internal staff, reducing vendor expenditures
- Continue to promote increased voter registration and turnout

Education & Publications

FINANCIAL SUMMARY



Efficiencies

- Continue to provide publications required by statute
- Continue providing accessibility of publications to constituents
- Continue compiling and providing accurate and precise information

Our Performance

CATEGORY	OUTPUT
Publications produced (quantity ordered)	164,000
Publications distributed	184,000
Constituent services	2,770
Bills chaptered and recorded	1,380
Agency speaking and training events	15
Agency promotion and exhibits	15
Agency publications produced	14



The Education & Publications Division provides publications, Mississippi flags and document certifications to the general public. This division also produces all elected officials' commissions and certification documents for Election Commissioners Statewide.

Public Lands

FINANCIAL SUMMARY

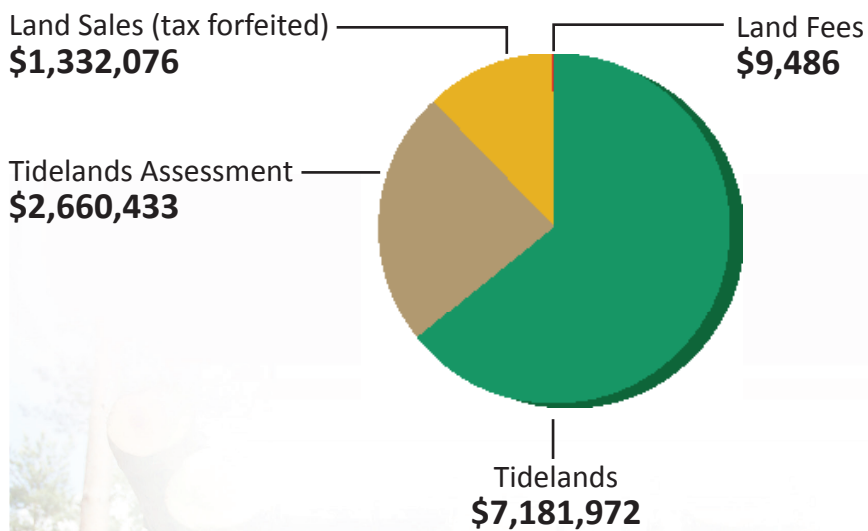
Our Performance

CATEGORY	OUTPUT
16th Section leases managed	12,692
Tax forfeited applications processed	1,523
Tax forfeited patents issued	778
Tidelands leases managed	178

Efficiencies

- Continue to conserve, preserve, protect, and manage the public lands of Mississippi
- Continue to make available to the public, all records of real property belonging to or under the control of the State of Mississippi
- Implement an electronic environment for sales and collection of reporting data on public lands

Revenue: FY 2013



Total: \$11,183,967

Administration and Executive

FINANCIAL SUMMARY

Efficiencies

- Continue to monitor and improve Agency internal controls/procedures to increase accountability for property and monies received specific to finance, purchasing, human resources and information services
- Continue to upgrade the technological systems to provide better constituent services

External Factors

- Changes in the economy, positive or negative, can affect the number of filings processed which may increase or decrease the amount of revenue
- External factors can change the direction of projects, funding and revenue
- Legislative directive changes the course of projects and funding
- Changes in federal law, such as HAVA, change the direction of projects and funding

Our Performance

CATEGORY	OUTPUT
Parcels of mail processed	129,782
Help Desk requests completed	3,116
Payment vouchers prepared	2,775
Personnel transactions processed	93
Agency sponsored sessions	12

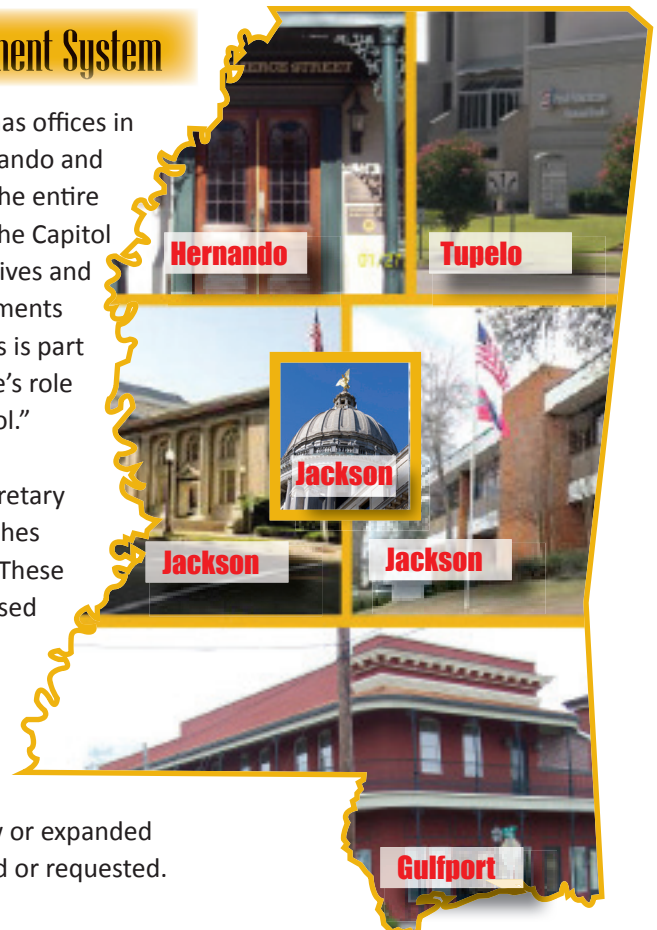
Additional Efficiencies: Turnaround Time

Vendor payments	15 days
Tax forfeited county refunds	15 days
Travel reimbursements	5 days

Internal Management System

The Secretary of State has offices in Jackson, Gulfport, Hernando and Tupelo to better serve the entire State. An office within the Capitol building in Jackson receives and records executive documents from the Governor. This is part of the Secretary of State's role as "Keeper of the Capitol."

Each division of the Secretary of State's Office establishes senior-level priorities. These top priorities are discussed during senior staff meetings. Performance measures are collected and reported quarterly. Budgetary constraints are reviewed when new or expanded priorities are considered or requested.



Contact the Secretary of State



Agency Contacts

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Charities
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601-359-1048

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601-359-6344

Elections
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601-359-9412

Policy & Research
Drew Snyder
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Regulation & Enforcement
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Securities
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601-359-1334

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Topics

16th Section Land
601-359-6377

Apostilles/Notary
601-359-1615

Campaign Finance
601-359-1358

Cemeteries
601-359-6747

Charity Lookup
601-359-1371

Corp./LLC/UCC
601-359-1633

Elections Hotline
800-829-6786

Flags
601-359-6344

General Laws
601-359-6344

Gov. Commissions
601-369-3123

Lobbying
601-359-6353

Public Records Request
601-359-6349

Scrap Metal
601-359-6361

Securities Investigations
601-359-1363

Tax Forfeited Land
601-359-1557

Tidelands
601-228-864-0254

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401 Mississippi Street
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601-359-1350
Fax 601-359-1499

700 North Street
Jackson, MS 39202
601-359-1350
Fax 601-359-1499

400 High Street, Room 105
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Jackson, MS 39201
(601)-359-3123

Tupelo

431 W. Main Street, Ste. 403
Tupelo, MS 38804
662-844-0194
Fax 662-842-3892

Hernando

316 W. Commerce Street
Hernando, MS 38632
662-449-3362
Fax 662-429-0952

Gulfport

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Gulfport, MS 39502
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DELBERT HOSEMANN
Secretary of State



Business Services

Charities

Education & Publications

Elections

Policy & Research

Public Lands

Regulation & Enforcement

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